09/07/00

Express Mail Label No. EL172582475

Docket No.: END9-2000-0110 US1

# NEW UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Total Pages this Submission:3

# TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

System and Method for Catalog Administration Using Supplier Provided Flat Files

and invented by:

DAVID G.RUEST, AMY J. SNAVELY, and MARK A. MUSA

If a CONTINUATION APPLICATION, check the appropriate box and supply the requisite information:

$\square$ Continuation $\square$ Divisional $\square$ Continuation-i	n-part(CIP) of prior application No.
--	--------------------------------------

#### Enclosed are:

#### Application Elements

- 01. Filing fee as calculated and transmitted as described below
- 02. 
  Specification having 84 pages and including the following:
  - a. 

    Descriptive Title of the Invention
  - b. 

    Cross References to Related Applications (if applicable)
  - c. 

    Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. □ Reference to Microfiche Appendix (if applicable)
  - e. 

    Background of the Invention
  - f. 

    Brief Summary of the Invention
  - g. 

    Brief Description of the Drawings (if drawings filed)
  - h. 

    Detailed Description
  - i. 🔳 Claim(s) as Classified Below
  - j. 

    Abstract of the Disclosure
- 03. Drawing(s) when necessary as prescribed by 35 USC 113)
  - a.  $\square$  Formal
  - b.  $\square$  Informal

Number of sheets: 20

JC675 U.S. PT 09/657196

09/65

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(Only for new nonprovisional applications under 37 CFR 1.53(b) Total Pages this Submission: 3

#### Application Elements (Continued)

04.   Oath or Declaration						
a. $\square$ Newly executed (original or copy)						
b.   Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)						
c.  With Power of Attorney						
05. ☐ Incorporation By Reference (usable if box 4b is checked)						
The entire disclosure of the prior application, from which a copy of the coath or declaration is supplied under Item 4b, is considered as being part of the disclosure of accompanying application and is hereby incorporated by reference therein.	ie					
06.   Computer Program in Microfiche (Appendix)						
07.   □ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)						
a. $\square$ Paper Copy						
b. $\square$ Computer Readable Copy (identical to computer copy)						
c. $\square$ Statement Verifying Identical Paper and Computer Readable Copy						
Accompanying Application Parts						
08. Assignment Papers (cover sheet & document(s))						
09.   37 CFR 3.73(B) Statement (when there is an assignee)						
10.   English Translation Document (if applicable)						
11. 🗆 Information Disclosure Statement/PTO-1449 🗀 Copies of IDS citation						
12.   □ Preliminary Amendment						
13.   Acknowledgment postcard						
14.  © Certificate of Mailing						
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15.   ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed).						
Page 2 of 3						

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# NEW UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Total Pages this Submission:3

#### Accompanying Application Parts (Continued)

16. □ Additional Enclosures (identify below)

#### Fee Calculation and Transmittal

#### CLAIMS AS FILED

For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims	14	-20	0	x \$18.00	\$
Indep. Claims	8	- 3	5	x \$78.00	\$ 390.00
Multiple Dependent	Claims				\$
			BASIC	FEE	\$ 690.00
Other FEE (Specify	purpose)				\$
			TOTAL	FILING FEE	\$1,080.00

- $\square$  A check in the amount of \$ to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge and credit IBM Corporation Deposit Account No. 09-0457 as described below. A duplicate copy of this sheet is enclosed.
  - Charge the amount of \$1,080.00 as filing fee.
  - Credit any overpayment.
  - Charge any additional filing fees as required under 37 C.F.R. 1.16 and 1.17.
  - $\square$  Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated:

09/07/00

Dignature

John R. Pivnichny Reg. No. 43,001 IBM Corporation/N50/040-4 1701 North Street

Endicott, NY 13760 Phone: (607) 755-6565

cc:

 ${\tt transmitnew.wpd}$ 

Docket No. END9-2000-0110 US1

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

D. G. Ruest, et al

Serial No.:

N/A

Group No.:

N/A

Filed:

N/A Examiner: Herewith

For:

System and Method for Catalog Administration Using Supplier Provided Flat Files

**Assistant Commissioner For Patents** 

Washington, D.C. 20231

# **EXPRESS MAIL CERTIFICATE**

"Express Mail" label number:

EL172582475

Date of Deposit:

09/07/00

I hereby certify that the following attached paper or fee

New Utility Patent Application Transmittal Specification: 84 pages (including claims) **Declaration and Power of Attorney** 

Drawings (20 sheets)

**Express Mail Certificate** Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Georgia Y. Brundege

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NOTE:

The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail". Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings, it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.

a:\certESPRESSmail.wpd

# **APPLICATION**

# **FOR**

# UNITED STATES LETTERS PATENT

APPLICANT NAME

D. G. RUEST, ET AL.

TITLE System and Method for Catalog Administration Using Supplier Provided Flat Files

DOCKET NO.

END9-2000-0110 US1

### INTERNATIONAL BUSINESS MACHINES CORPORATION

# I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231 as "Express Mail Post Office to Addressee" on 09/07/00 Mailing Label No. EL172582475 Name of person mailing paper: Georgia Y. Brundege Signiture Date